

Agenda: Payment Services Regulatory Compliance Forum

1st November 2022, etc venues Monument

Time	Item	Speakers
09.00 – 09.10	Welcome and Introduction	James Borley, Managing Director, Compliancy Services
The Regulatory Landscape		
09.10 - 09.45	Keynote Speech	Paul Roe (TBC), FCA
09.45 – 10.15	Consumer Duty	Matthew Baxter, Senior Consultant, Compliancy Services
10.15 - 10.45	'Hot Topics' Panel Session/Q&A	James Borley, Managing Director, Compliancy Services John Burns, Managing Director, Compliancy Services Paul Roe (TBC), FCA Heenal Vasu, Senior PSL, Allen & Overy
10.45 – 11.15 - Coffee and Networking		
Prudential		
11.15 – 11.40	What does good wind down planning look like?	Jonathan Aseervatham, Director, Prudential Services, Compliancy Services Stefan Babic, Senior Consultant, Prudential Services, Compliancy Services
11.40 – 12.05	When things go wrong – tales from an Insolvency Practitioner	Dina Devalia, Managing Director, Quantuma Advisory Limited
12.05 - 12.30	Prudential Panel Session/Q&A	James Borley, Managing Director, Compliancy Services Jonathan Aseervatham, Director, Compliancy Services Stefan Babic, Senior Consultant, Compliancy Services Dina Devalia, Managing Director, Quantuma Advisory Limited
12.30 – 13.30 - Lunch and Networking		
Safeguarding		
13.30 – 13.55	Safeguarding by Insurance	Tristan Sargeaunt, Managing Director, Protean Risk
13.55 – 14.20	Applying for Safeguarding by Segregation	Mitch Trehan, UK Head of Compliance & MLRO, Banking Circle
14.20 – 14.40	Safeguarding Audits	James Borley, Managing Director, Compliancy Services John Burns, Managing Director, Compliancy Services
14.40 – 15.00	Safeguarding Panel Session/Q&A	James Borley, Managing Director, Compliancy Services Tristan Sargeaunt, Managing Director, Protean Risk Mitch Trehan, UK Head of Compliance & MLRO, Banking Circle

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		Dina Devalia, Managing Director, Quantuma Advisory Limited
15.00 – 15.30 Coffee and Networking		
Other		
15.30 – 15.55	Cyber Security – on the FCA radar?	Michael Corcione, Cyber Security Specialist
15.55 – 16.15	Future Regulatory Framework (UK) vs PSD3	John Burns, Managing Director, Compliance Services
16.15 – 16.45	Pulling it all together: How to deal with the FCA - best practice, hints and tips	James Borley, Managing Director, Compliance Services John Burns, Managing Director, Compliance Services
16.45 – 17.00	Wrap Up and Close	James Borley, Managing Director, Compliance Services
17.00 – 19.00 – Networking drinks		